

### A.3.4. Template of the ESMP mandatorily annexed to the Financing Agreement (FA)

#### General considerations

1. The Federal Ministry of Finance (FMF), through the Federal Ministry of Agriculture and Food Security (FMAFS) is planning to implement the National Agricultural Growth Scheme and Agro-Pockets (NAGS - AP) Project. The Bank has agreed to provide financing, implementation support and monitoring for the Project.
2. The Federal Ministry of Agriculture and Food Security through the NAGS – AP Project Implementation Unit (PIU) will implement measures and actions of this Environmental and Social Management Plan<sup>1</sup> (**ESMP**) so that the Project meets all the requirements of the Bank Environmental and Social Operational Safeguards (**OS**) and the National policy and legal requirements.
3. Where the ESMP refers to specific plans, whether they have already been prepared or are to be developed, the ESMP requires compliance with all mandatory provisions of such plans.
4. The table below summarizes the material measures and actions that are required, the basis of the requirement, the timing of the measure or action, and the criteria to be used for determining whether the required measure or action has been successfully achieved. The Federal Ministry of Agriculture and Food Security through the NAGS – AP Project Implementation Unit (PIU) is responsible for compliance with all requirements of the ESMP even when implementation of specific measures and actions is conducted by an entity different from the Project Implementation Unit (PIU).
5. Implementation of the material measures and actions set out in this ESMP will be monitored and reported to the Bank by The Federal Ministry of Agriculture and Food Security through the NAGS – AP Project Implementation Unit (PIU) as required by the ESMP and the conditions of the legal agreement, and the Bank will monitor and assess progress and completion of the measures and actions throughout implementation of the Project.
6. As agreed by the Bank and The Federal Ministry of Agriculture and Food Security through the NAGS – AP Project Implementation Unit (PIU), this ESMP may be revised from time to time during Project implementation, to reflect adaptive risk management of project changes and unforeseen circumstances or in response to assessment of project performance conducted under the ESMP itself. In such circumstances, the NAGS – AP Project Implementation Unit (PIU) will propose and agree changes with the Bank, and then update the ESMP to reflect such changes.

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<sup>1</sup> The ESMP refers to all the E&S risks/impacts and measures, as approved in all the disclosed E&S documents and agreed between the Bank and Borrower. For projects involving multiple subprojects, that are identified, prepared and implemented during the course of the project, the Borrower will need to demonstrate to the Bank, before the project appraisal, through the preparation of E&S documentation of a sample of subprojects, that it has the capacity to carry out appropriate environmental and social assessment of subprojects, and prepare and implement such subprojects in accordance with the national laws and the OSs. (*Section III.2.3 of Bank's ESP and section D of OSI*)

<b><i>Material Actions<sup>2</sup> to Manage the Project's E&amp;S Risks and Impacts</i></b>		<b><i>Basis for Requirement</i></b>	<b><i>Key Performance Indicator</i></b>	<b><i>Indicative Timing/Deadline</i></b>
Periodic E&S implementation report to the Bank		Bank's ESP and OS1	Reports submitted in time, in good standard	Reports to be submitted quarterly, no later than the 15 <sup>th</sup> calendar day of the subsequent month.
1	Recruitment of E and S specialists as part of the Project implementation unit	Disclosed ESIA, OS1	Seasoned Environmental, Social and Gender specialists in the PIU HQ and same in the States offices	By Project effectiveness date
2	Establishment of the Project Grievance Redress Mechanism (GRM) and disclosure to Public	OS1, OS10 and National requirements	Program specific GRM established, submitted to and cleared by the Bank, Periodic reporting on GRM implementation, number of GRM access points provided by the project (boxes, hotline, focal persons), % of grievances acknowledged and resolved within the stipulated time frame.	Before commencement of project activities and during project implementation
3	Payment of compensation and reinstallation of affected people	SO10	Not applicable	Not Applicable
4	Incorporation of site-specific E&S measures in the request for proposals	SO1 & national requirements	E&S safeguard requirements incorporated into Request for proposal	Prior to issuance of award letters

<sup>2</sup> Please add any relevant key actions and/or indicate "Not applicable" in the third column ("Basis for requirement") for actions that are not applicable to the project.

			(RFP) and Contract document	
5	Submission of high-risk activity's Contractor ESMP ( <i>C-ESMP</i> ) to Bank clearance	Bank's ESP and OS1	Not applicable	Not Applicable
6	Establishment of the Contractor's Grievance Mechanism (GM) and information of workers	OS1, OS2, SO10 and Bank's Disclosure and Access to Information Policy	Contractor GM established grievance log, and workers sensitized	During project implementation, before the commencement of construction activities, if any
7	Obtaining nationally required licenses prior commencement of subjected activities (work place safety, safety at storage facilities, safety while transporting, distribution and use, working at height, working in confined spaces, etc. disposal of collection and disposal of waste e.g empty containers, cans etc)	OS1, OS2 and national labor laws	All permits obtained by accredited Agro dealers, NAGS-AP line staff and agents; verified and documented	Before commencement of each activity
8	Preparation, approval, and disclosure of specific E&S documents during Project implementation, including prior review of Category 1 terms of reference by the Bank	Bank's ESP, OS1 and national requirements	Not applicable	Not Applicable
9	Engagement with concerned stakeholders of each relevant specific E&S activity	OS1, OS10, Bank's Disclosure and Access to Information Policy	Stakeholder engagement Plan implementation status reported during quarterly E&S reporting during project implementation.  % of community related grievances received and resolved as outlined in project GRM to be developed.  % of specific E&S activities that include	Before commencement of Program activities, Continuous throughout the program lifecycle

			<p>stakeholder engagement plans.</p> <p>Number of consultations held with directly affected stakeholders (PAPs, communities, workers, CSOs, etc)</p> <p>% of vulnerable and marginalized groups engaged through inclusive strategies</p>	
10	Establishment of Emergency Preparedness and Response mechanism	OS1 & OS4, national legislation on contingencies et	EPRP developed and cleared by the Bank	During project implementation
11	Appropriate and timely handling of complaints/grievances	Bank's ESP and OS1	<p>No of grievances reported, recorded and resolved, grievance log, Number of GM access points provided by the project (boxes, hotlines, focal persons).</p> <p>% of grievances acknowledged and resolved within the stipulated timeframe.</p>	During project implementation and throughout the project life cycle.
12	Notification to riparian or alert to downstream exposed peoples	Bank's ESP and OS1, applicable International Treaty/Convention ratified	Not Applicable	Not Applicable
13	Capacity building of key project implementers	OS1	E&S System strengthening capacity building sessions for PIU, with participation at	During Project implementation

			the Federal and State levels	
14	Implementation of ESMS/ESAP <sup>3</sup>	OS1 and OS9, national requirements	Not applicable	Not applicable
14.1	<i>Approval of any required E&amp;S management procedure</i>	Ditto	Not applicable	Not applicable
14.2	<i>Establishment of the E&amp;S unit</i>	Ditto	Not applicable.	Not applicable.
14.3	<i>Capacity Building of the E&amp;S Unit</i>	Ditto	Not applicable	Not applicable.
14.4	<i>Processing the Value Chain E&amp;S due diligence</i>	Ditto	Not applicable	Not applicable
15	Suspending works in the event of EOHS risk or incident, immediately notify the Bank, and resume works only upon no-objection of the Bank.	Bank's ESP	Incident reported; work suspended and resumed after clearance from the Bank	Immediately and no later than 72 hours after the occurrence
16	Prepare the root-cause analysis (RCA) of any fatal EOHS incident and implement the Corrective Action Plan (CAP).	Bank's ESP and OS1	Incident investigation report, root cause and data analysis (RCA) and carryout E&S Audit prepared, and CAP implemented	Within 5 working days of incident
17	Disclosure of Project's E&S reports to the public	OS1, OS10, Bank's Disclosure and Access to Information Policy	Annual environmental and social performance audit reports	End of 1 <sup>st</sup> Quarter of the following year (annually)

<sup>3</sup> Applies to non-sovereign operations and public sector projects implemented by permanent autonomous Agencies/Institutions.